

De : [Lorenzo Pederzani](#)
À : [Member Regulation Policy](#); MarketRegulation@osc.gov.on.ca; CMRdistributionofSROdocuments@bcsc.bc.ca
Cc : [Matt Evans](#)
Objet : Comment on Policy options for leveling the advisor compensation playing field
Date : 26 janvier 2024 11:44:40
Pièces jointes : [image001.png](#)

[EXTERNAL EMAIL / COURRIEL EXTERNE]

Dear Madams/Sirs,

I am encouraged to see the speed at which CIRO is moving along to harmonize and standardize our disjointed industry in Canada.

My comment is simply that I would hope that the same standards are applied to the Investment Counsel/Portfolio Manager world as well.

Currently our Portfolio Managers are not allowed to direct compensation to a corporation and instead must receive all of their compensation in the form of a salary. I should hope that any amendment to the regulatory environment that would allow IIROC advisors to direct some or all of their compensation to a corporation would be extended to individuals directly registered under the provincial securities' commissions.

Sincerely,

Lorenzo

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[Book a Meeting](#)

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