

LIST OF DESIGNATED INDICES

The Investment Industry Organization of Canada (“IIROC”) has designated each of the following to be an “index” for the purposes of Universal Market Integrity Rules (“UMIR”):

Identification of Indices	Person Maintaining Indices	Information on Indices
Dow Jones Canada Titans 40 Index	Dow Jones Indexes	http://indexes.dowjones.com
FTSE RAFI Canadian Index	FTSE International Limited	www.ftse.com
GMP Junior Oil and Gas Index	Standard & Poor’s Financial Services LLC	www.gmpsecurities.com
S&P/TSX Composite Index (and all sector and capped indices comprised of constituents of the S&P/TSX Composite index)	Standard & Poor’s (S&P/TSX Canadian Index Policy Committee)	www.standardandpoors.com
S&P/TSX Income Trust Index (and all sector and capped indices comprised of constituents of the S&P/TSX Income Trust Index)	Standard & Poor’s (S&P/TSX Canadian Index Policy Committee)	www.standardandpoors.com
S&P/TSX Venture Composite Index	Standard & Poor’s (S&P/TSX Canadian Index Policy Committee)	www.standardandpoors.com

This list is effective February 22, 2011 and remains in effect until replaced by a new list. For an outline of the criteria considered by IIROC in making a designation of an index, reference should be made to Market integrity Notice 2006-013 – Guidance – *Designation of Indices and Exchange Traded Funds* (May 26, 2006).

Under Rule 3.1 of UMIR, a short sale that is part of a Program Trade made in accordance with the Marketplace Rules on which the trade is executed may be made at a price less than the last sale price. Such a sale may be marked as “short exempt” in accordance with the order marking requirements under Rule 6.2 of UMIR.

Under Rule 7.7 of UMIR, a dealer-restricted person is prohibited or restricted in dealing with certain securities while acting as:

- an underwriter in a prospectus offering or restricted private placement;
- an agent, but not as an underwriter, in a restricted private placement that involves the distribution of more than 10% of the issued and outstanding shares and the Participant is entitled to more than 25% of the distribution;



- a dealer-manager, manager, soliciting dealer or advisor in respect of a securities exchange takeover bid or issuer bid if a security is offered as consideration; or
- a soliciting dealer or advisor in respect of the approval of an amalgamation, arrangement, capital reorganization or similar transaction.

During a “restricted period” as defined for the purposes of Rule 7.7, a dealer-restricted person is able to bid for or purchase a restricted security solely for the purpose of rebalancing a portfolio, the composition of which is based on an index as designated by the Market Regulator, to reflect an adjustment in the composition of the index. Similarly, during a “restricted period”, a dealer-restricted person is able to bid for or purchase a restricted security as part of a Program Trade.

For more details regarding Rule 7.7, reference should be made to Market integrity Notice 2005-007 – Notice of Amendment Approval – Amendments Respecting Trading During Certain Securities Transactions (March 4, 2005).