CIRO · OCRI
Canadian Investment Regulatory Organization
Organisme canadien de réglementation des investissements

IN THE MATTER OF
THE INVESTMENT DEALER AND PARTIALLY CONSOLIDATED RULES AND THE DEALER MEMBER RULES
AND
YARON ORGIL

NOTICE OF APPLICATION FOR SETTLEMENT HEARING

Enforcement Staff will bring an application to a hearing panel of the Canadian Investment Regulatory Organization ("CIRO") requesting that it accept a settlement agreement between Enforcement Staff and Yaron Orgil pursuant to sections 8215 and 8428 of the Investment Dealer and Partially Consolidated Rules (the "Investment Dealer Rules").

THE SETTLEMENT AGREEMENT

The settlement agreement addresses proposed allegations that Yaron Orgil contravened Dealer Member Rule 1300.1(a) (prior to January 1, 2022) and Investment Dealer Rule 1300.1(a) (after January 1, 2022) by failing to use due diligence to learn and remain informed of the essential facts relative to four clients, and Dealer Member Rule 1300.1(q) (prior to January 1, 2022) and Investment Dealer Rule 1300.1(q) (after January 1, 2022) by failing to use due diligence to ensure that recommendations were suitable for four clients.

THE SETTLEMENT HEARING

The settlement hearing will be held by videoconference on Wednesday, December 02, 2026 at 10:00 a.m. ET

The hearing is not open to the public, but the public will be notified if the settlement agreement is accepted. If the settlement agreement is accepted, it will be made public together with the hearing panel's reasons.

DATED November 5, 2026.

"NATIONAL HEARING OFFICER"
NATIONAL HEARING OFFICER
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