

From: [Kirkland,Brad](#)
To: [Member Regulation Policy](#)
Subject: Rule amendments relating to the proposed adoption of an Incorporated Approved Person compensation option
Date: Monday, July 13, 2026 11:44:36 PM
Attachments: [image002.png](#)

[EXTERNAL EMAIL / COURRIEL EXTERNE]

I believe that all financial advisors and employees within the industry should have the same options available to them. I believe that forcing former MFDA employees *out* of those arrangements would cause them unreasonable individual financial harm, and so would request that not be done to them. However, if the same options are not made available to the (formerly) higher levels of regulatory oversight, that is also not fair. All advisors should be allowed to utilize corporate structures just like lawyers and accountants do, not just the mutual fund bank employees. While I'm sure there are complexities I am not seeing, there are very few instances in life where there is a such a clear-cut "right" and a clear-cut "wrong" answer. What is taking so long?

Sincerely,



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