From:	Martin, Brian
To:	Member Regulation Policy
Subject:	Rule amendments relating to the proposed adoption of an Incorporated Approved Person compensation option
Date:	Thursday, July 9, 2026 12:48:48 PM
Attachments:	image001.png

[EXTERNAL EMAIL / COURRIEL EXTERNE]

To the proposed changes I believe the following is the best solution

- introducing an option for all client-facing Approved Persons2 to enter into an incorporated advisor arrangement with their sponsoring Dealer Member.

However I believe the key to the discussion is that it's a level playing field for all participants. The current system allows preferential treatment for some but not all. Whatever is decide it needs to be equal for all.

Brian
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Schedule a Meeting

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