From:	Kellermann, Alex
To:	Member Regulation Policy; CIRO-Reporting; TradingandMarkets@osc.ca
Subject:	Support for the Incorporated Approved Person Compensation Option
Date:	Thursday, July 9, 2026 2:45:24 PM
Attachments:	image001.png image002.png

[EXTERNAL EMAIL / COURRIEL EXTERNE]

Good Afternoon,

I am writing in support of CIRO's proposed adoption of the Incorporated Approved Person (IAP) compensation option.

This proposal would provide advisors with greater flexibility to manage and grow their practices while maintaining existing regulatory, compliance, and investor protection standards. It would also help create greater consistency with other incorporated professionals and support business investment, succession planning, and advisor retention.

I encourage CIRO and the CSA to advance this initiative and consider harmonized rules that allow all advisors to benefit from incorporation opportunities.

Thank you for considering my comments.

Sincerely,

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